Photocyclodimers of 'Made-to-Measure' Seven- and Six-Membered Cyclic Enones

by Kerstin Schmidt and Paul Margaretha*

Department of Chemistry, University of Hamburg, Martin-Luther-King Platz 6, D-20146 Hamburg (phone: +49-40-428384316; fax: +49-40-428385592; e-mail: Paul.Margaretha@chemie.unihamburg.de)

On irradiation (350 nm) in benzene as solvent, dioxepinone **6** and benzoxepinone **7** afford quantitatively mixtures of two diastereoisomeric *head-to-head* dimers, respectively. In both cases, on contact with SiO₂ the minor dimer containing *trans*-ring fusions undergoes spontaneous isomerization to the (major) *cis-transoid-cis* diastereoisomer. In contrast, thiopyranone **8** is converted selectively, but in very low yield, to dimer **13**.

Introduction. - Synthetic applications and mechanistic details of both photocyclodimerization and photocycloaddition to alkenes have been thoroughly investigated for five- and six-membered cyclic enones [1-3]. In contrast, information on the corresponding behavior of cyclohept-2-enones is restricted to the finding that such seven-membered cyclic enones 1 on irradiation afford predominantly doubly *trans*fused dimers 2, the formation of which has been proposed to occur via (Z/E)isomerization of the excited enone, followed by thermal $[2\pi_s + 2\pi_a]$ cycloaddition to a second ground-state enone [4-6]. Benzocyclohepten-7-ones **3** behave similarly to give diastereoisomer mixtures of dimers 4 and 5 [6][7] (Scheme 1). We have recently reported that dioxepinone 6 and benzoxepinone 7 undergo [2+2] photocycloaddition to 1,3-dienes, e.g., 2,3-dimethylbuta-1,3-diene [8] without any or very little interference of photodimer formation. Whereas photocycloaddition to 1,3-dienes is atypical for cyclopentenones and cyclohexenones due to very efficient energy transfer from the excited enone to the diene, more flexible six-membered ring enones, e.g., thiopyranone 8, have been found to give cyclobutanes almost quantitatively under these conditions [9]. For all these heterocyclic enones, the a) a shorter C–O bond, or b) a longer C–S bond as compared to a standard C-C bond seems to control the rigidity of the ring to prevent the above mentioned typical (Z/E)-isomerization of cycloheptenones. Here, we report on the photocyclodimerization of these oxa- and thia-enones.



© 2012 Verlag Helvetica Chimica Acta AG, Zürich



Results. – Irradiation (350 nm) of a 1M solution of 1,3-dioxepin-5(4*H*)-one (6) in benzene for 24 h afforded a 2:3 mixture of dimers 9 and 10, as monitored by ¹H-NMR. On standing in CDCl₃ or on treatment with SiO₂ in CH₂Cl₂, dimer 9 underwent isomerization quantitatively to 10. Similarly, irradiation of a 1M solution of 1-benzoxepin-3(2*H*)-one (7) for 24 h led to a 2:3 mixture of dimers 11 and 12; the minor product 11 again isomerized quantitatively to 12 in the presence of acids. The structures of dimers 10 and 12 were established by X-ray crystal-structure determination. In contrast, the photodimerization of 2,3-dihydro-2,2-dimethyl-4*H*-thiopyran-4-one (8) required much longer irradiation times (80–90 h for *ca*. 20% conversion) to give dimer 13 selectively (*Scheme* 2).

Discussion. – By assuming that *trans-anti-trans-*dimers, *e.g.* **2** or **4**, were formed solely via the sequence a) photoisomerization of the (excited) (Z)-cycloheptenone to the (ground state) (E)-diastereoisomer, followed by b) thermal $[2\pi_s + 2\pi_a]$ cycloaddition to a second ground-state enone, the behavior of benzoxepinone 7 parallels that of benzocycloheptenones 3. The effect of the shorter C–O–C bonds in 11 as compared to the carbocyclic dimer **4** is reflected in the spontaneous isomerization of the former to 12 in contact with SiO_2 , while the latter is apparently stable under these conditions. In contrast, a comparison of the results on the photodimerization of dioxepinone $\mathbf{6}$ with those of cycloheptenones 1 indicates, that (Z/E)-isomerization of the excited dioxaenone was inhibited, as no doubly trans-fused tricyclic dimer was formed at all. Indeed, the behavior of $\mathbf{6}$ rather corresponds to that of six-membered cyclic oxa-enones, *e.g.*, 2,3-dihydro-2,2-dimethyl-4H-pyran-4-one [10]. Here, the twisted excited enone is trapped by a second ground-state enone molecule to yield a mixture of a *trans-anti-cis*dimer 9, wherein (only) one of the external rings is *trans*-fused to the (central) cyclobutane ring, in addition to the (conventional) cis-anti-cis-dimer 10. In this context, the inefficiency observed in the photodimerization of thiopyranone 8 is difficult to rationalize. Thus, in contrast to their carbocyclic counterparts, 1,3-dioxepin-5(4H)-ones can be regarded as 'made-to-measure' seven-membered ring enones. Due to the shorter





C–O bond length (vs. C–C), the rigidity of the ring system is increased, thereby preventing the (typical) (Z/E)-photoisomerization of cyclohept-2-enones.

Experimental Part

1. *General.* Photolyses were performed in a *Rayonet RPR-100* photoreactor equipped with (16) 350nm lamps using solvents of spectrophotometric grade. Column chromatography (CC) was performed on silica gel (*Merck* grade 60; 230–400 mesh). ¹H- and ¹³C-NMR spectra (including 2D plots): *Bruker WM-*500 at 500.13 and 125.8 MHz, resp.; δ in ppm rel. to Me₄Si as internal standard, *J* in Hz. X-Ray analyses: *Bruker SMART APEX II* three-circle diffractometer at 153 K with MoK_a radiation (λ 0.71073 Å).

2. Starting Materials. Compounds 6 [11], 7 [12], and 8 [13] were synthesized according to the literature procedures.

3. *Photocyclodimerizations.* Ar degassed $5 \cdot 10^{-1}$ M solns. of **6**, **7**, or **8** in benzene were irradiated for the time indicated up to the degree of conversion determined by ¹H-NMR monitoring. Workup of the crude product mixtures as described below.

Irradiation of **6**. A soln. of 114 mg of **6** in benzene (2 ml) was irradiated for 18 h up to total conversion. After filtering from polymeric material, subsequent evaporation of the solvent afforded 80 mg of a 2:3 mixture of dimers **9** and **10** (1 H-NMR).

rac-(5*a*R,5*b*S,10*a*S,10*b*S)-*Tetrahydrocyclobuta*[1,2-d:4,3-d']*bis*[1,3]*dioxepine*-5,6(4H,7H)-*dione* (**9**). ¹H-NMR (CDCl₃; from the mixture **9/10**)): 5.50, 4.68 (*AB*, *J* = 7.3, CH₂(9)); 5.07, 4.93 (*AB*, *J* = 5.3, CH₂(2)); 4.65 (*dd*, *J* = 4.1, 6.5, H–C(5b)); 4.54, 4.20 (*AB*, *J* = 18.3, CH₂(7)); 4.44, 4.08 (*AB*, *J* = 18.2, CH₂(4)); 4.33 (*dd*, *J* = 9.3, 9.8, H–C(10b)); 3.98 (*dd*, *J* = 6.5, 9.8, H–C(10a)); 3.37 (*dd*, *J* = 4.4, 9.3, H–C(5a)). ¹³C-NMR (CDCl₃): 208.7 (*s*, C(5)); 206.4 (*s*, C(6)); 101.5 (*t*, C(9)); 97.1 (*t*, C(2)); 80.0 (*t*, C(7)); 75.1 (*t*, C(4)); 74.1 (*d*, C(5b)); 73.5 (*d*, C(10a)); 59.0 (*d*, C(10b)); 45.2 (*d*, C(5a)).

The crude residue was dissolved in CH_2Cl_2 (1 ml), added to a suspension of 50 mg of SiO₂ in CH_2Cl_2 (2 ml), and the mixture was stirred for 3 h at r.t. After filtering and evaporation of the solvent, recrystallization from hexane afforded 55 mg (48%) of **10**.

rac-(5a,5b,10a,10b)-Tetrahydrocyclobuta[1,2-d:4,3-d']bis[1,3]dioxepine-5,6(4H,7H)-dione (10). M.p. 208–210°. ¹H-NMR (CDCl₃): 4.97, 4.80 (*AB*, *J* = 5.3, CH₂(2), CH₂(9)); 4.34, 3.98 (*AB*, *J* = 17.8, CH₂(4), CH₂(6)); 4.32, 4.13 (*AA'XX'*, *J_{AX}*=8.1, *J_{AA'}*=5.7, *J_{XX}*=3.0, *J_{AX'}*=0.5, H–C(5a), H–C(5b), H–C(10a), H–C(10b)). ¹³C-NMR (CDCl₃): 209.6 (s, C(5), C(6)); 96.1 (t, C(2), C(9)); 76.1 (t, C(4), C(7)); 74.2 (d, C(5a), C(5b)); 47.5 (d, C(10a), C(10b)).

X-Ray Crystal-Structure Determination of 10^{1}). $C_{10}H_{12}O_{6}$, orthorhombic, space group $P2_{1}2_{1}2_{1}$, a = 6.5219(2), b = 8.5138(2), c = 17.3353(5) Å.

Irradiation of **7**. A soln. of 160 mg of **7** in benzene (2 ml) was irradiated for 18 h up to total conversion. After filtering from polymeric material, subsequent evaporation of the solvent afforded 120 mg of a 2:3 mixture of dimers **11** and **12** (¹H-NMR).

rac-(7a, 7b, 14b, 14c, R)-7a, 7b, 14b, 14c-Tetrahydrodibenzo[b, b']cyclobuta[1, 4-d: 2, 3-d']bisoxepine-7,8(6H, 9H)-dione (**11**). ¹H-NMR (CDCl₃; from the mixture **11/12**): 7.35 – 7.13 (8 arom. H); 4.68, 4.46 (*AB*, J = 17.1, CH₂(6), CH₂(9)); 3.88, 3.80 (2m, H–C(7a), H–C(7b), H–C(14b), H–C(14c)). ¹³C-NMR (CDCl₃): 206.2 (s, C(7), C(8)); 158.9 (s, C(4a), C(10a)); 133.5 (s, C(14a), C(14d)); 129.2, 128.8, 127.5, 126.9 (4d, 8 arom. CH); 49.2 (d, C(7a), C(7b)); 43.1 (d, C(14b), C(14c)).

The crude residue was dissolved in CH_2Cl_2 (1 ml), added to a suspension of 50 mg SiO₂ in CH_2Cl_2 (2 ml), and the mixture was stirred for 3 h at r.t. After filtering and evaporation of the solvent, recrystallization from hexane/benzene 2:1 afforded **12** (85 mg, 52%).

rac-(7aR,7bR,14bR,14cR)-7a,7b,14b,14c-Tetrahydrodibenzo[b,b']cyclobuta[1,4-d:2,3-d']bisoxepine-7,8(6H,9H)-dione (**12**). M.p. 245 – 247°. ¹H-NMR (CDCl₃): 7.40 – 7.15 (8 arom. H); 4.74, 4.28 (*AB*, J = 16.4, CH₂(6), CH₂(9)); 4.58, 4.38 (*AA'XX'*, $J_{AX} = 11$, $J_{AA'} = 9$, $J_{XX'} = 4$, $J_{AX'} = 1$, H–C(7a), H–C(7b), H–C(14b), H–C(14c)). ¹³C-NMR (CDCl₃): 209.1 (*s*, C(7), C(8)); 158.2 (*s*, C(4a), C(10a)); 133.4 (*s*, C(14a), C(14d)); 129.2, 128.8, 127.5, 126.9 (4d, 8 arom. CH); 46.0 (*d*, C(14b), C(14c)); 42.2 (*d*, C(7a), C(7b)).

X-Ray Crystal-Structure Determination of **12**¹). $C_{20}H_{16}O_4$, orthorhombic, space group *P212121*, *a* = 5.216(2), *b* = 10.846(4), *c* = 26.570(10) Å.

Irradiation of **8**. A soln. of 142 mg of **8** in benzene (2 ml) was irradiated for 90 h, After filtering from polymeric material, evaporation of the solvent afforded a 4:1 mixture of **8** and dimer **13** (¹H-NMR). CC (SiO₂; pentane/Et₂O 3:2) afforded first **8**, followed by **13** (15 mg, 10%).

rac-(4aR,4bR,8aS,8bS)-2,2,7,7-Tetramethyloctahydrocyclobuta[1,2-b:4,3-b']bisthiopyran-4,5-dione (13). M.p. 120–121°. ¹H-NMR (CDCl₃): 3.95, 3.72 (*AA'XX'*, J_{AX} =8.6, $J_{AX'}$ =6.9, $J_{XX'}$ =5.2, $J_{AX'}$ =0.4, H–C(4a), H–C(4b), H–C(8a), H–C(8b)); 2.67, 2.63 (*AB*, J=14.3, CH₂(3), CH₂(6)); 1.41, 1.33 (2s, 4 Me). ¹³C-NMR (CDCl₃): 208.1 (s, C(4), C(5)); 56.2 (t, C(3), C(6)); 48.3 (d, C(4a), C(4b)); 47.2 (d, C(8a), C(8b)); 44.8 (s, C(2), C(7)); 31.1, 30.2 (2q, 4 Me).

REFERENCES

- J. P. Hehn, C. Müller, T. Bach, in 'Handbook of Synthetic Photochemistry', Eds. A. Albini, M. Fagnoni, Wiley-VCH, Weinheim, 2010, pp. 171–215.
- [2] N. Hoffmann, Chem. Rev. 2008, 108, 1052.
- [3] P. Margaretha, in 'Molecular and Supramolecular Photochemistry', Vol. 12, Eds. A. G. Griesbeck, J. Mattay, Marcel Dekker, New York, 2005, pp. 211–238.
- [4] R. A. Bunce, V. L. Taylor, E. M. Holt, J. Photochem. Photobiol., A 1991, 57, 317.
- [5] A. B. Smith III, J. L. Wood, T. P. Keenan, N. Liverton, M. Visnick, J. Org. Chem. 1994, 59, 6652.
- [6] M. R. J. Vallée, I. Inhülsen, P. Margaretha, Helv. Chim. Acta 2010, 93, 17.
- [7] H. Hart, E. Dunkelblum, J. Org. Chem. 1979, 44, 4752.
- [8] K. Schmidt, P. Margaretha, Helv. Chim. Acta 2011, 94, 768.
- [9] P. Margaretha, K. Schmidt, J. Kopf, V. Sinnwell, Synthesis 2007, 1426.
- [10] K. Schmidt, P. Margaretha, J. Kopf, Helv. Chim. Acta 2006, 89, 1927.

¹⁾ CCDC-840649 and -840650 contain the supplementary crystallographic data for **12** and **10**, respectively. These data can be obtained free of charge *via* www.ccdc.cam.ac.uk/data_request/cif.

- [11] K. Schmidt, P. Margaretha, *Helv. Chim. Acta* 2011, *94*, 1994.
 [12] P. Kahnberg, O. Sterner, *Tetrahedron* 2001, *57*, 7181.
 [13] K. Schmidt, J. Kopf, P. Margaretha, *Helv. Chim. Acta* 2005, *88*, 1922.

Received October 19, 2011